

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) : Seok-Hyun Yun et al.  
Serial No. : To be assigned  
Filed : Herewith (April 27, 2006)  
Entitled : METHOD AND APPARATUS FOR PERFORMING OPTICAL IMAGING USING FREQUENCY-DOMAIN INTERFEROMETRY  
Group Art Unit : To be Assigned  
Examiner : To be Assigned

INFORMATION DISCLOSURE STATEMENT

**Express Mail No.: EV 642 787 908 US**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached Form PTO 1449, and respectfully request that the listed documents be considered by the Examiner and made of record in the above-captioned application. Copies of the United States patent references listed on the Form PTO-1449 are not enclosed, but the PCT, foreign and non-patent references are enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitute "prior art." If the Examiner applies the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under

IAP12 Rec'd PCT/PTO 27 APR 2006

036179/US/2 - 475387-00030  
PATENT

United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of the documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should the documents be applied against the claims of the present application.

This submission is being filed together with the application. Therefore, applicants do not believe that any fee is due in connection with the submission of this paper. However, if any fee is due, or if any overpayment has been made, the Commissioner is authorized to charge any such fee or credit any overpayment, to our Deposit Account No. 50-2054.

Respectfully submitted,

**Dorsey & Whitney, LLP**



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(212) 415-9371

Form PTO-1449 U.S. Department of Commerce (REV. 2-82) Patent and Trademark Office								Atty. Docket No. 036179/US/2 – 475387- 00030	Serial No. 10577562 To be assigned
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b>(Use several sheets if necessary)</b>								Applicant(s) Seok-Hyun Yun et al.	
								Filing Date Herewith (April 27, 2006)	Group To be assigned

**U.S. PATENT DOCUMENTS**

*Exam. Init.		Document No.							Date	Name	Class	Subclass	Filing Date if Appropriate
		2	3	3	9	7	5	4	January 25, 1944	P.H. Brace			
		4	6	0	1	0	3	6	July 15, 1986	Faxvog et al			
		4	6	3	1	4	9	8	December 23, 1986	Cutler			
		4	8	6	8	8	3	4	September 19, 1989	Fox et al			
		4	9	2	5	3	0	2	May 15, 1990	Cutler			
		4	9	6	5	4	4	1	October 23, 1990	Picard			
		4	9	9	3	8	3	4	February 19, 1991	Carlhoff et al			
		5	0	4	0	8	8	9	August 20, 1991	Keane			
		5	0	4	6	5	0	1	September 10, 1991	Crilly			
		5	1	2	0	9	5	3	June 9, 1992	Harris			
		5	1	9	7	4	7	0	March 30, 1993	Helper et al			
		5	2	9	3	8	7	2	March 15, 1994	Alfano et al			
		5	3	1	7	3	8	9	May 31, 1994	Hochberg et al			
		5	3	2	1	5	0	1	June 14, 1994	Swanson et al			
		5	3	5	3	7	9	0	October 11, 1994	Jacques et al			
		5	3	8	3	4	6	7	January 24, 1995	Auer et al			
		5	4	1	9	3	2	3	May 30, 1995	Kittrell et al			
		5	4	3	9	0	0	0	August 8, 1995	Gunderson et al			
		5	4	4	1	0	5	3	August 15, 1995	Lodder et al			
		5	4	5	9	5	7	0	October 17, 1995	Swanson et al			
		5	4	6	5	1	4	7	November 7, 1995	Swanson			
		5	4	8	6	7	0	1	January 23, 1996	Norton et al			
		5	4	9	1	5	5	2	February 13, 1996	Kittrell			
		5	5	6	2	1	0	0	October 8, 1996	Kittrell et al			
		5	5	8	3	3	4	2	December 10, 1996	Koji Ichie			

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		5	5	9	0	6	6	0	January 7, 1997	MacAulay et al			
		5	6	0	1	0	8	7	February 11, 1997	Richards- Kortum et al			
		5	6	9	7	3	7	3	December 16, 1997	Gunderson et al			
		5	7	1	9	3	9	9	February 17, 1998	Alfano et al			
		5	7	4	8	5	9	8	May 5, 1998	Swanson et al			
		5	7	8	4	3	5	2	July 21, 1998	Swanson et al.			
		5	7	9	5	2	9	5	August 18, 1998	Hellmuth et al			
		5	8	0	3	0	8	2	September 8, 1998	Stapleton et al			
		5	8	4	0	0	2	3	November 24, 1998	Oraevsky et al			
		5	8	4	2	9	9	5	December 1, 1998	Mahadevan- Jansen et al			
		5	8	6	5	7	5	4	February 2, 1999	Sevick-Muraca <sup>et al</sup>			
		5	8	7	1	4	4	9	February 16, 1999	David Lloyd <sup>Deacon</sup>			
		5	9	2	1	9	2	6	July 13, 1999	Rolland et al			
		5	9	5	6	3	5	5	September 21, 1999	Swanson et al			
		5	9	6	8	0	6	4	October 19, 1999	Selmon et al			
		5	9	8	7	3	4	6	November 16, 1999	Benaron et al			
		5	9	9	4	6	9	0	November 30, 1999	Kulkarni et al			
		6	0	0	2	4	8	0	December 14, 1999	Izatt et al			
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		6	0	1	0	4	4	9	January 4, 2000	Selmon et al			
		6	0	3	3	7	2	1	March 7, 2000	Nikos Nassuphis			
		6	0	4	4	2	8	8	March 28, 2000	Wake et al			
		6	0	4	8	7	4	2	April 11, 2000	Weyburne et al			
		6	0	6	9	6	9	8	May 30, 2000	Ozawa et al			
		6	0	9	1	9	8	4	July 18, 2000	Perelman et al			
		6	1	1	1	6	4	5	August 29, 2000	Tearney et al			
		6	1	1	7	1	2	8	September 12, 2000	Kenton W. Gregory			

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								Filing Date Herewith (April 27, 2006)	Group To be assigned

		6	1	2	0	5	1	6	September 19, 2000	Selmon et al			
		6	1	3	4	0	0	3	October 17, 2000	Tearney et al			
		6	1	4	1	5	7	7	October 31, 2000	Rolland et al			
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		6	2	0	8	8	8	7	March 27, 2001	Richard H. Clarke			
		6	2	8	2	0	1	1	August 28, 2001	Tearney et al			
		6	3	4	1	0	3	6	January 22, 2002	Tearney et al			
		6	3	7	7	3	4	9	April 23, 2002	Adolf Friedrich Fercher			
		6	4	2	1	1	6	4	July 16, 2002	Tearney et al			
		6	4	8	5	4	1	3	November 26, 2002	Boppart et al			
		6	4	8	5	4	8	2	November 26, 2002	W. Martin Belef			
		6	5	0	1	5	5	1	December 31, 2002	Tearney et al			
		6	5	5	2	7	9	6	April 22, 2003	Magnin et al			
		6	5	6	4	0	8	7	May 13, 2003	Pitris et al			
		6	6	2	2	7	3	2	September 23, 2003	Brent R. Goncalves			
		6	6	8	5	8	8	5	February 3, 2004	Nolte et al.			
		6	6	8	7	0	0	7	February 3, 2004	Meigs			
		6	8	0	6	9	6	3	October 19, 2004	Wälti et al.			
2002	0	1	6	1	3	5	7	October 31, 2002	Rox et al.				
2002	0	1	6	3	6	2	2	November 7, 2002	Magnin et al.				

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	2003	0	0	2	3	1	5	3	January 30, 2003	Izatt et al			
	2003	0	2	3	6	4	4	3	December 25, 2003	Cespedes et al			
	2003	0	0	2	6	7	3	5	February 6, 2003	Nolte et al.			
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		5	8	0	7	2	6	1	September 15, 1998	Benaron et al.			
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		5	9	8	3	1	2	5	November 9, 1999	Alfano et al.			
		6	1	3	4	0	1	0	October 17, 2000	Zavislans			
		6	1	9	3	6	7	6	February 27, 2001	Winston et al.			
		6	3	0	8	0	9	2	October 23, 2001	Hoyns			
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		6	3	9	4	9	6	4	May 28, 2002	Sievert, Jr. et al.			
		6	4	4	5	9	4	4	September 3, 2002	Ostrovsky			
		6	4	6	3	3	1	3	October 8, 2002	Winston et al.			
		5	9	2	0	3	9	0	July 6, 1999	Farahi et al.			
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		5	2	0	2	7	4	5	April 13, 1993	Sorin et al.			
		5	5	6	5	9	8	6	October 15, 1996	Knüttel			
		5	8	4	7	8	2	7	December 8, 1998	Fercher			
		5	8	7	7	8	5	6	March 2, 1999	Fercher			
		5	9	2	0	3	7	3	July 6, 1999	Bille			
		5	9	9	1	6	9	7	November 23, 1999	Nelson et al.			
		6	2	0	8	4	1	5	March 27, 2001	De Boer et al.			
		6	5	4	9	8	0	1	April 15, 2003	Chen et al.			
	2002	0	1	9	6	4	4	6	December 26, 2002	Roth et al.			
	2002	0	1	9	8	4	5	7	December 26, 2002	Tearney et al.			
		5	4	9	1	5	2	4	February 13, 1996	Hellmuth et al.			
		6	6	8	0	7	8	0	January 20, 2004	Fee			

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	2003	0	1	3	5	1	0	1	July 17, 2003	Webler			
		6	9	8	0	2	9	9	December 27, 2005	de Boer			
		6	1	6	6	3	7	3	December 26, 2000	Mao			
		6	4	6	9	8	4	6	October 22, 2002	Ebizuka et al.			
		5	6	2	3	3	3	6	April 22, 1997	Raab et al.			
		5	2	6	2	6	4	4	November 16, 1993	Maguire			
		5	1	2	7	7	3	0	July 7, 1992	Brelje et al.			
		5	2	4	8	8	7	6	September 28, 1993	Kerstens et al.			
		5	3	0	4	8	1	0	April 19, 1994	Amos			
		5	4	5	0	2	0	3	September 12, 1995	Penkethman			
		5	4	5	9	3	2	5	October 17, 1995	Hueton et al.			
		5	5	2	6	3	3	8	June 11, 1996	Hasman et al.			
		5	6	0	0	4	8	6	February 4, 1997	Gal et al.			
		5	6	9	8	3	9	7	December 16, 1997	Zarling et al.			
		5	7	8	5	6	5	1	July 28, 1998	Kuhn et al.			
		5	8	8	7	0	0	9	March 23, 1999	Mandella et al.			
		5	0	4	5	9	3	6	September 3, 1991	Lobb et al.			
		5	2	9	1	8	8	5	March 8, 1994	Taniji et al.			
		5	2	9	3	8	7	3	March 15, 1994	Fang			
		5	0	6	5	3	3	1	November 1991	Vachon et al.			
	2001	0	0	4	7	1	3	7	November 2001	Moreno et al.			
	2002	0	0	1	6	5	3	3	February 7, 2002	Marchitto et al.			
		6	8	1	6	7	4	3	November 9, 2004	Moreno et al.			
		6	3	2	4	4	1	9	November 27, 2001	Guzelsu et al.			
		6	5	6	4	0	8	9	May 13, 2003	Izatt et al.			
		6	1	9	8	9	5	6	March 6, 2001	Dunne, Shane			
		5	7	3	5	2	7	6	April 7, 1998	Lemelson, Jerome			
		6	5	5	8	3	2	4	May 6, 2003	Von Behren et al. **			

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		5	9	4	9	9	2	9	September 7, 1999	Hamm **			
		6	3	5	3	6	9	3	March 5, 2002	Kano et al. **			
		5	0	3	9	1	9	3	August 13, 1991	Snow et al. **			
2002	0	1	2	2	2	4	6		September 5, 2002	Tearney et al. **			
		6	6	8	7	0	1	0	February 2004	Horii et al.			

## FOREIGN PATENT DOCUMENT

		Document No.						Date	Country	Class	SubClass	Translator Yes      No	
,		4	3	0	9	0	5	6	September 22, 1994	Germany			
		2	2	0	9	2	2	1	May 4, 1989	Great Britain			
		0	1	1	0	2	0	1	June 13, 1984	European			
		0	2	5	1	0	6	2	January 7, 1988	European			
		9	2	1	9	9	3	0	November 12, 1992	WIPO			
		9	3	0	3	6	7	2	March 4, 1993	WIPO			
		9	7	3	2	1	8	2	September 4, 1997	WIPO			
		9	8	3	5	2	0	3	August 13, 1998	WIPO			
		9	9	4	4	0	8	9	September 2, 1999	WIPO			
		9	9	5	7	5	0	7	November 11, 1999	WIPO			
	-0	0	5	8	7	6	6		October 5, 2000	WIPO			
		0	1	4	2	7	3	5	June 14, 2001	WIPO			
		0	2	5	4	0	2	7	July 11, 2002	WIPO			
	0	3	0	2	0	1	1	9	March 13, 2003	WIPO			
		0	1	3	8	8	2	0	May 31, 2001	WIPO*			
	0	4	1	0	5	5	9	8	December 9, 2004	WIPO			
		0	2	3	6	0	1	5	May 10, 2002	WIPO**			
x		0	2	3	8	0	4	0	May 16, 2002	WIPO**			
x		1	4	2	6	7	9	9	June 9, 2004	European **			

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\* U.S. equivalent is provided.

\*\* References cited in International Search Report

**OTHER DOCUMENTS (including Author, Title Date, Pertinent Pages, Etc.)**

		Fujimoto et al., "High Resolution In Vivo Intra-Arterial Imaging with Optical Coherence Tomography," <u>Official Journal of the British Cardiac Society</u> , Vol. 82, pages 128-133 Heart, 1999
		D. Huang et al., "Optical Coherence Tomography," <u>SCIENCE</u> , Vol. 254, pages 1178-1181, November 1991
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		Rollins, et al., "In Vivo Video Rate Optical Coherence Tomography," <u>Optics Express</u> , Vol. 3, pages 219-229, September 1998
		Saxer, et al., High Speed Fiber-Based Polarization-Sensitive Optical Coherence Tomography of in Vivo Human Skin," <u>Optical Society of America</u> , Vol. 25, pages 1355-1357, September 2000
		Oscar Eduardo Martinez, "3000 Times Grating Compress or with Positive Group Velocity Dispersion," <u>IEEE</u> , Vol. QE-23, pages 59-64, January 1987
		Kulkarni, et al., "Image Enhancement in Optical Coherence Tomography Using Deconvolution," <u>Electronics Letters</u> , Vol. 33, pages 1365-1367, July 1997
		Bashkansky, et al., "Signal Processing for Improving Field Cross-Correlation Function in Optical Coherence Tomography," <u>Optics &amp; Photonics News</u> , Vol. 9, pages 8137-8138, May 1998
		Yung et al., "Phase-Domain Processing of Optical Coherence Tomography Images," <u>Journal of Biomedical Optics</u> , Vol. 4, pages 125-136, January 1999
		Tearney, et al., "In Vivo Endoscopic Optical Biopsy with Optical Coherence Tomography," <u>SCIENCE</u> , Vol. 276, June 1997
		W. Drexler et al., "In Vivo Ultrahigh-Resolution Optical Coherence Tomography," <u>Optics Letters</u> Vol. 24, pp. 1221-3, September 1999

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		Nicusor V. Iftimia et al., "A Portable, Low Coherence Interferometry Based Instrument for Fine Needle Aspiration Biopsy Guidance," Accepted to Review of Scientific Instruments, 2005
		Abbas, G.L., V.W.S. Chan et al., "Local-Oscillator Excess-Noise Suppression for Homodyne and Heterodyne-Detection," <u>Optics Letters</u> , Vol. 8, pages 419-421, August 1983 issue
		Agrawal, G.P., "Population Pulsations and Nondegenerate 4-Wave Mixing in Semiconductor-Lasers and Amplifiers," <u>Journal Of The Optical Society Of America B-Optical Physics</u> , Vol. 5, pages 147-159, January 1998
		Andretzky, P. et al., "Optical Coherence Tomography by Spectral Radar: Improvement of Signal-to-Noise Ratio," <u>The International Society for Optical Engineering, USA</u> , Vol. 3915, 2000
		Ballif, J. et al., "Rapid and Scalable Scans at 21 m/s in optical Low-Coherence Reflectometry," <u>Optics Letters</u> , Vol. 22, pages 757-759, June 1997
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		Bouma, Brett et al., "Power-Efficient Nonreciprocal Interferometer and Linear-Scanning Fiber-Optic Catheter for Optical Coherence Tomography," <u>Optics Letters</u> , Vol. 24, pages 531-533, April 1999
		Brinkmeyer, E. et al., "Efficient Algorithm for Non-Equidistant Interpolation of Sampled Data," <u>Electronics Letters</u> , Vol. 28, page 693, March 1992
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b>(Use several sheets if necessary)</b>		Applicant(s) Seok-Hyun Yun et al.	
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Form PTO-1449 U.S. Department of Commerce (REV. 2-82) Patent and Trademark Office		Atty. Docket No. 036179/US/2 – 475387- 00030	Serial No. To be assigned <b>10577562</b>
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use several sheets if necessary)		Applicant(s) Seok-Hyun Yun et al.	
		Filing Date Herewith (April 27, 2006)	Group To be assigned

		International Search Report for International Patent application No. PCT/US2005/039740.
		International Written Opinion for International Patent application No. PCT/US2005/039740.
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		International Written Opinion for International Patent application No. PCT/US2005/043951.
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		Erdelyi et al. "Generation of diffraction-free beams for applications in optical microlithography", J. Vac. Sci. Technol. B 15 (12), Mar/Apr 1997, Pages 287-292.

Examiner /Michael A. Lyons/ Date Considered 02/26/2008

\* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. If multiple citations, attach this form with next communication to applicant.

**ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MAL/**